communication to Applicant.

Page 2 of 15 APPLICATION NO. ATTY. DOCKET NO. 1033.0180002 To be assigned FORM PTO-1449 APPLICANT Ian K. Shepherd INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE To be assigned Herewith U.S. PATENT DOCUMENTS EXAMINER FILING DATE CLASS SUB-INITIAL DOCUMENT DATE NAME NUMBER CLASS 408 364 4,903,201 02/1990 Wagner AB2 06/1990 Durbin et al. 364 408 4,933,842 364 408 08/1990 Atkins AC2 4,953,085 364 401 AD2 4,975,840 12/1990 DeTore et al. AE2 4,980,826 12/1990 Wagner 364 408 408 Silverman et al. 364 AF2 5,077,665 12/1991 364 408 AG2 5,101,353 03/1992 Lupien et al. 5,126,936 ()(p 91/1992 Champion et al. 364 408 AH2 08/1992 364 408 AI2 5,136,501 Silverman et al. 705 37 AJ2 5,970,479 10/1999 Shepherd 705 37 10/2000 AK2 Shepherd 6,134,536 FOREIGN PATENT DOCUMENTS EXAMINER TRANSLATION DOCUMENT COUNTRY CLASS SUB-INITIAL DATE CLASS NUMBER G06F 15/21 Yes 0 434 224 A2 06/26/1991 EP No G06F 15/21 Yes 09/19/1991 WIPO WO 91/14231 AM2 No G06F 15/21 Yes 03/25/1987 GB 2 180 380 A AN₂ No 10/04/1990 WIPO G06F 15/21 Yes WO 90/11571 No **AO2** 17/60 Yes WO 96/18160 06/13/1996 WIPO AP2 OTHER (Including Author, Title, Date, Pertinent Pages, etc.) "The Game of Professional Investment is Intolerably Boring and Over-Exacting to Anyone Who is Entirely Exempt from the Gambling Instinct, While He Who Has it AR 2 Must Pay to this Propensity the Appropriate Toll," Planned Savings, December 1987, pp. 50-51. "UK: Law Prohibiting Stock Market Betting Agencies from Suing Clients Overturned AS 2 in Recent Legislation," The Times, July 24, 1990. "UK: Court Rules Gamblers Owing Money on Bets on Stock Market Have to Pay Up," AT <u>2</u> Mail on Sunday, July 29, 1990, p. 61. DATE CONSIDERED EXAMINER EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next